



VAW-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Wolfgang Schneider et al.
Application No. : 09/986,446 Confirmation No.: 5083
Filed : November 8, 2001
For : DEVICE FOR FILTERING AND ADDING
GRAIN REFINING AGENT TO METAL MELTS
Group Art Unit : 1723

New York, New York 10022
October 18, 2002

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

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OCT 23 2002

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

TC 1700

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

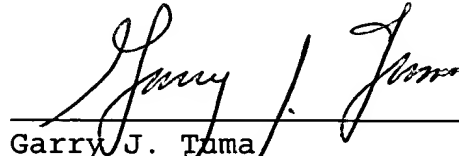
- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

Express Mail No.
EV079959692US

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Garry J. Tuma", is written over a horizontal line.

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11/18/02
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Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants
hereby make the following patent documents and publications of
record in the above-identified patent application:

Foreign Patent Documents

Europe	EP 0696646	February 14, 1996
PCT	WO 83/00508	February 17, 1983
Germany	DE 32 48 883	February 17, 1983

Other Documents

Schneider, W., et al., "Untersuchung der Wirksamkeit
verscheidener Filtertypen für die Filtration von
Aluminiumschmelzen, Teil I," Aluminium, Vol. 74, pp. 40-44,
1998.

Filterofen zur Qualitätsverbesserung von
Aluminiumgußteilen," Giesserei-Erfahrungsaustausch, p. 458,
October 1998.

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Copies of the aforementioned publications, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

The aforementioned patent documents and publications were cited by a German Patent and Trademark Office (GPTO) Examiner during an examination procedure in connection with a German counterpart application. Three of the aforementioned publications, "Untersuchung der Wirksamkeit verscheidener Filtertypen für die Filtration von Aluminiumschmelzen, Teil I," "Filterofen zur Qualitätsverbesserung von Aluminiumgußteilen," and patent document DE 32 48 883 are in the German language. Accordingly, applicants submit herewith a copy of the aforementioned German Patent and Trademark Office (GPTO) Search Report and a translation, provided by applicants' German associate, of the portions of the Report that indicate the degrees of relevance of the German language publications.

Applicants note that International Publication No. WO 83/00508 is an English language counterpart of patent document DE 32 48 883.

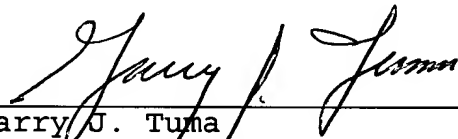
It is respectfully requested that these patent documents and publications be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form

PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

To the best of applicants' knowledge, this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits. Pursuant to 37 C.F.R. § 1.97(b), no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075.

An early and favorable action is respectfully requested.

Respectfully submitted,



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